





# SHARE REGISTRATION PRACTICAL ISSUES

### **COURSE INTRODUCTION**

The Companies Act 2016 provides that the Register of Members shall be prima facie evidence as to legal title of shares and it is the Company Secretary's duty to update the Register of Members on any changes as well as to notify Suruhanjaya Syarikat Malaysia (SSM) of the changes within a stipulated timeframe. It also enables a company to require a member to disclose if the shares are held by him as beneficial owner or as trustee.

### **COURSE OBJECTIVES**

This course is to provide participants with an understanding of the duties imposed on the Company Secretary in relation to the maintenance of the Register of Members. Illustrative guides will be provided to give participants better insight into the disclosure of interest in shares. The new procedures for transfer of shares would also be discussed.

## **COURSE CONTENTS**

- Register of Members maintenance, inspection, closure, rectification, Record of Depositors, Branch Register.
- Disclosure of beneficial interest.
- Restriction on membership of companies.
- Interest in shares and disclosure by directors and substantial shareholders.
- Transfer of shares procedures, refusal, forged transfer.
- Transmission of shares death, bankruptcy, winding-up.
- Lost certificates.

## **LEARNING OUTCOME**

By attending this course, the participants will be able to:

- Understand the provisions of the Companies Act 2016 related to entries in the Register of Members, disclosure of interest in shares, transfer and transmission of shares.
- Distinguish the new legal requirements and practical procedures.

#### WHO SHOULD ATTEND

Company secretaries, company secretarial assistants, company directors, lawyers, accountants and corporate consultants.

## **ABOUT THE TRAINERS**

**Leong Oi Wah** is a Fellow Member of the Malaysian Institute of Chartered Secretaries and Administrators (MAICSA) and has more than 30 years of experience in handling corporate secretarial matters in the profession and the corporate sector.

Oi Wah is also involved in advisory on corporate secretarial requirements including corporate restructuring and compliance with various regulatory bodies such as the Registrar of Companies, Bursa Malaysia Securities Berhad, Securities Commission and other authorities. She is an approved trainer with Pembangunan Sumber Manusia Berhad and does regular training for the Companies Commission Malaysia Training Academy and other professional bodies.

ADMINISTRATIVE DETAILS			EVENT CODE
DATE	VENUE	VENUE	
28 January 2019	Menara SSI	Menara SSM@Sentral, Kuala Lumpur	
Time	9:00 am - 5	9:00 am - 5:00 pm	
Training Methodology	Lecture & C	Lecture & Case Discussion	
Fee Fee inclusive of certificate of attendance, compact disc pre-loaded with seminar materials and refreshment	RM500.00 RM400.00		
CPE points	8		

Use one form for each participant. Print or type clearly. This form can be downloaded from SSM's website at www.ssm.com.my. Kindly make copies if more forms are needed.

Please register me for the below programme:

DATE	PROGRAMME PROGRAMME			
28 JANUARY 2019	SHARE REGISTRATION PRACTICAL ISSUES			
PARTICIPANT DETAILS:	(All details must be completed)			
Name				
I/C No	Licensed Secretary No			
Professional Body Name & Membership No.				
Designation				
Company				
Address				
Office Tel. No	Fax No Mobile No			
Email Address				
(Please provide your e-mail add	tress as registration confirmation is sent to you via e-mail)			
Tax Invoice to be issued in the	e name of			
Name of HR personnel	Tel. No			
Vegetarian Meal	Other Diet			
Payment by cheque no	amount RM			

### **PAYMENT TERMS**

- Fee is payable to SURUHANJAYA SYARIKAT MALAYSIA
- · Payment can be made in cash, cheque, bank draft, credit card, postal order or money order.
- Each programme fee must be paid separately.
- Only cheque issued by company/firm can be accepted. Companies/Firms that issue a non-valid cheque will be blacklisted.
- Personal cheque will not be accepted.
- On the reverse of each instrument of payment, please indicate the participant(s) name (maximum 5), License Secretary no. / Professional Body name (if any), programme date and event code.
- Attach with a copy of the Registration Form (if payment made before the programme date) or Invoice (if payment made after the programme date) when submitting payment.
- Payment can be made at SSM, Level 17, Menara SSM @ Sentral, Kuala Lumpur and at all SSM branches in Malaysia.

### PROGRAMME FEE

Fee is inclusive of certificate of attendance issued by the Companies Commission of Malaysia (SSM), training materials, refreshments and lunch (where applicable).

# FAX YOUR REGISTRATION FORM AND SEND YOUR PAYMENT TO:

COMTRAC, Level 12, Menara SSM @ Sentral, 7 Jalan Stesen Sentral 5, Kuala Lumpur Sentral, 50623 Kuala Lumpur Tel: +(603) 2299 4440 (Zakhir) / 4441 (Priya) / 5308 (Fuza) Fax: +(603) 2299 4451 / 4452 Email: comtrac@ssm.com.my

### **TERMS & CONDITIONS**

Registration is on a first-come-first-served-basis. No walk-in accepted. All registrations MUST be submitted to SSM accompanied with the **full payment five (5) working days before the programme**. Admittance will not be permitted unless payment is received. Participant will be issued a Certificate of Attendance upon full attendance of the programme and payment is received.

### **Cancellation**

No refund is entertained if participant decide to cancel their registration but a substitute is welcome. Written notification of substitute's name must be received by COMTRAC at least three (3) working days prior to programme. Any difference in fee will be charged accordingly. In cases of cancellation/postponement of programme by COMTRAC, the registration fee will be refunded.

# Transfer

No refund is entertained if participant fails to attend a programme. Transfer of registration fee to another programme is not allowed.

# Replacement Of Certificate

SSM will charge an administrative fee of RM30.00 per copy for any replacement of certificate due to errors in name or identification card number wrongly filled by participant / representative during registration or loss of certificate, etc.

### DISCI AIMER

SSM reserves the right to cancel programme, change date(s), venue(s), speaker(s) or any other changes due to any unforeseen circumstances that may arise without prior notice to participants. Upon submitting the registration form, you are deemed to have read and accepted the terms and conditions.

### **PSMB**

Suruhanjaya Syarikat Malaysia is registered as a training provider with PSMB under KERAJAAN1846.

### PERSONAL DATA PROTECTION NOTICE

Your personal data and other information provided in this application and including any additional information you may subsequently provide, may be used and processed by COMTRAC / SSM as a reference in future to communicate with you on our training programmes/ events. In line with the Personal Data Protection Act 2010, we wish to get your agreement and consent for using your personal data. If you do not consent to the processing and disclosure of your personal data, you should send an e-mail to us at comtrac@ssm.com.my