PERSPECTIVE
A typical company does not usually “go under” simply because someone therein embezzles RM100,000 or even RM1 million! However, when the financial statements of the company are misreported and such misreporting is ultimately disclosed to the regulators and public, the impact to the company may be catastrophic, especially if the company is a listed issuer, whose share price may take a beating as a consequence. Of late, there have been several cases of financial reporting fiascos that hit our shores, and it is not surprising Audit Committees of listed issuers are now more concerned than ever before to ensure their oversight responsibility on the financial reporting process is not impaired by misreporting which are not flagged for corrective actions before being announced to regulators.

COURSE OBJECTIVES
This course aims to explain who and what roles they play in identifying and preventing fraud in an organization. The speaker will also share the key aspect of governance, risk and control and how to establish code of conduct/ethics and whistle-blowing mechanism.

COURSE CONTENTS
- The roles of the Board, Audit Committee, Internal Auditors and External Auditors insofar as fraud risk identification and prevention are concerned;
- The concepts of Governance, Risk and Controls;
- The establishment of a comprehensive Code of Conduct/ Ethics and its compliance process, including Whistle- Blowing mechanisms;
- What red flags are and what they really indicate; and
- What else can the Board/ Audit Committee do to flush out or minimize fraud risk?

LEARNING OUTCOME
By attending this course, participants will be able to:
- Outline the roles of Board, Audit Committee, Internal and External Auditors in fraud identification and prevention.
- Identify the red flags in fraud cases.
- Explain the Governance, Risk and Controls concept.

WHO SHOULD ATTEND
Directors of listed issuers, unlisted companies, internal auditors, external auditors, corporate governance advocates, company secretaries, corporate advisors, chief executive officers, chief financial officers, chief risk officers, compliance officers, management personnel, risk officers, etc.

ABOUT THE TRAINER
LEE MIN ON
Lee Min On is a Partner at KPMG, Malaysia and the Executive Director of KPMG Risk Management & Risk Consulting Sdn Bhd. He is a Chartered Member & former Governor of The Institute of Internal Auditors Malaysia, Chartered Accountant, Malaysian Institute of Accountants and Member of Malaysian Institute of Certified Public Accountants. Min On has been with the Firm for the last 33 years and presently focuses on Board Advisory Services which include corporate governance advisory for Boards of listed issuers. The main thrust of such engagements is the formalisation of corporate governance frameworks, establishment of Board Charters, Board Committees with their terms of reference and development of risk management frameworks.
Use one form for each participant. Use one form for one programme. Please print or type details clearly. This form can be downloaded from SSM’s website at www.ssm.com.my. Kindly make copies if more forms are needed.

Yes, please register me for the below programme:

<table>
<thead>
<tr>
<th>DATES</th>
<th>EVENT CODES</th>
<th>VENUES</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>08 April 2013</td>
<td>CEP/KL/13/032</td>
<td>Menara SSM@Sentral, Kuala Lumpur</td>
<td>FRAUD RISK MANAGEMENT: A CORPORATE</td>
</tr>
<tr>
<td>05 June 2013</td>
<td>CEP/JB/13/048</td>
<td>Grand Paragon Hotel, Johor Bahru</td>
<td>GOVERNANCE PERSPECTIVE</td>
</tr>
<tr>
<td>08 October 2013</td>
<td>CEP/SB/13/088</td>
<td>Tanahmas Hotel, Sibu, Sarawak</td>
<td></td>
</tr>
</tbody>
</table>

Fax your registration form and send your payment to:

COMTRAC
Level 12, Menara SSM @ Sentral, 7 Jalan Stesen Sentral 5, Kuala Lumpur Sentral, 50623 Kuala Lumpur
Tel: +603 2299 4441 (Saffyah)
+603 2299 4440 (Farah)
+603 2299 4448 (Noor)
+603 2299 4435 (Zalina)
Fax: +603 2299 4451 / 2299 4452
Email: comtrac@ssm.com.my

PARTICIPANT DETAILS: (Please Provide All Details)

Name _______________________________ I/C No. _______________________________
License Secretary No. _______________________________ Membership No. _______________________________
Professional Body Name _______________________________ Designation _______________________________
Company _______________________________ Address _______________________________
Office Tel. No. _______________________________ Fax No. _______________________________
Mobile No. _______________________________ Email Address _______________________________

Name of HR personnel _______________________________ Email Address _______________________________
Office Tel. No. _______________________________ Fax No. _______________________________

I/We enclose Cheque / Bank Draft / Money Order / Postal Order for amount of RM________ (non-refundable) and made payable to ‘Suruhanjaya Syarikat Malaysia’. [Please indicate participant and company’s name and event code on the reverse of the cheque].

FEE
Fee is inclusive of certificate of attendance issued by the Companies Commission of Malaysia (SSM), a pre-loaded CD containing training materials, refreshment and lunch.

TERMS & CONDITIONS
Registration is on a first-come-first-served-basis. All registrations MUST be accompanied with the full payment. Admittance will not be permitted unless payment or a letter of guarantee is received. There is no refund policy for cancellation by participant. If a participant fails to attend a programme, the registration fee will not be refunded nor allocated to another programme. Postponement/replacement is allowed if SSM is notified within three (3) working days prior to the programme. All postponements/replacements must be made in writing and acknowledged by SSM. Any replacement of certificate due to errors in name or identification card number wrongly filled by participant/representative during registration or loss of certificate will be charged an administrative fee of RM30.00 per copy.

SSM reserves the right to postpone the date or cancel programme and/or change venue due to any unforeseen circumstances that may arise. In cases of cancellation by COMTRAC, the participant will be duly informed and the registration fee will be refunded upon request. SSM reserves the right to change the speaker(s) without prior notice. In such case, there shall be no refund. Please advise us if you need an invoice otherwise the registration form serves as our invoice.

ABOUT THE ORGANISER
The Companies Commission of Malaysia Training Academy (COMTRAC) was established on 1 April 2007 to spearhead the Companies Commission of Malaysia’s (SSM) external stakeholders training initiatives. Its mission is to train and educate a wide array of corporate role-players comprising directors, company secretaries, the management and employees of companies, auditors, entrepreneurs, innovators and members of the professional bodies, consistent with SSM’s functions as stipulated under subsection 17(d) of the Companies Commission of Malaysia Act 2001. Please visit www.ssm.com.my to find out more about COMTRAC and its training programmes.